

94326

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Pate	ent Application)
Applicant:	Takashi YOKOYAMA et al.)
Serial No.:	Unassigned)
Filed:	May 11, 2005)
For:	SILICON WAFER, ITS MANUFACTURING METHOD,)

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313

Sir:

Pursuant to 37 C.F.R. §1.97, a list of documents is disclosed on the attached Form PTO-1449 that may be material to the examination of this application. The cited references are enclosed herewith.

Documents for which the supplied date of publication lists the year of publication without the month were published sufficiently earlier than the effective U.S. filing date and any foreign priority date, so that the particular month of publication is not in issue. Pursuant to §609 of the MPEP, it is understood that the month of publication is not required when the particular month of publication is not in issue.

No inferences should be drawn that the attached list represents a comprehensive investigation, or that any material disclosed is equivalent to the subject invention. In addition, none of the documents that have publication dates prior to the priority date of the above application anticipate the invention in this application.

Applicant also encloses a copy of the PCT Search Report and references cited therein.

The cited document(s) disclose numerous specific features. There has been no attempt to list each

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Atty. Docket: 94326

and every feature disclosed by each document. The Examiner is requested to review the document(s) and determine the extent of the materiality of the document disclosures with respect to the present invention.

The discussion of any art and the citation of any document(s) herein is not to be construed as an admission that the art or document disclosure is necessarily within the invention field of endeavor, that the art or document disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that the art or document disclosure is otherwise necessarily prior art as defined by the patent law with respect to the instant invention and application.

Also, there is reserved the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosures of the art and document(s) recited herein, that may be cited by the Examiner in rejecting a claim in the instant patent application. The recitation herein of the art and document(s) is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Respectfully submitted,

Gerald T. Shekleton

Registration No. 27,466

Dated: May 11, 2005

WELSH & KATZ, LTD.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** INFORMATION DISCLOSURE May 11, 2005 **Filing Date** STATEMENT BY APPLICANT First Named Inventor YOKOYAMA et al. Art Unit Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned Sheet of | 1 94326 Attorney Docket Number

	U.S. PATENT DOCUMENTS					
Examin er Initials*	Cite No.1	Document Number Number-Kind Code 2 (d known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
/MS/		JP 2001-278692	10/10/2001	Ryoji et al.		Abstract
/MS/		JP 61-193456	08/27/1986	Kikuo et al.		Abstract
/MS/		JP 2001-102385	04/13/2001	Kazuhiro et al.		Abstract
/MS/		JP 3-279290	12/10/1991	Shinichi		Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Ţ6
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Examiner	/Matthew Song/	Date	00/00/0007
Signature	//vidanov cong,	Considered	06/08/2007

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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O & MILE E	S/Ppilean.	\Takashi YOKOYAMA et al.	 I hereby certify that this correspondence is being deposited with the United Postal Service as first class mail in an envelope addressed to:
TA TRAUBAN	Serial No.:	10/534,946) Mail Stop AMENDMENT, Commissioner of Patents, P.O. Box 1450, Alexandria, VA,
٩	Filed:	May 11, 2005) 22313-1450, on <u>June 1, 2005</u>
	For:	SILICON WAFER, ITS MANUFACTURING METHOD, AND ITS MANUFACTURING APPARATUS	Gerald T. Shekleton Reg. No. 27,466

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No inferences should be drawn that the attached list represents a comprehensive investigation, or that any material disclosed is equivalent to the subject invention. In addition, none of the documents that have publication dates prior to the priority date of the above application anticipate the invention in this application.

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The cited document(s) disclose numerous specific features. There has been no attempt to list each

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and every feature disclosed by each document. The Examiner is requested to review the document(s) and

determine the extent of the materiality of the document disclosures with respect to the present invention.

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of the art and document(s) is not to be construed as an assertion that more pertinent art could not possibly be

in existence.

Respectfully submitted,

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Substitute for form 1449/PTO		Complete if Known
INFORMATION DISCLOSURE	Application Number	10/534,946
	Filing Date	May 11, 2005
STATEMENT BY APPLICANT	First Named Inventor	YOKOYAMA et al.
(use so many sheets as personal	Art Unit	Unassigned
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 1 of 1	Attorney Docket Number	94326

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/MS/		JP 2001-278692	10/10/2001	Ryoji et al.		Abstract
		JP 2000-313695	11/14/2000	Toshio et al.		Abstract
		JP 2001-261495	09/26/2001	Kozo et al.		Abstract
		JP 8-330316	12/13/1996	Masataka et al.		Abstract
		JP 11-199387	07/27/1999	Hideki et al.		Abstract
		JP 11-79889	03/23/1999			No
		JP 2000-159594	06/13/2000	Kozo et al.		Abstract
		JP 5345679	04/24/1978			No
		JP 54-119375	09/17/1979	Sadao et al.		Abstract
		JP 56-21758	02/28/1981			No
		JP 63-319288	12/27/1988	Hirotoshi		Abstract
		JP 2-172884	07/04/1990	Tomohiko et al.		Abstract
		JP 6-16490	01/25/1994			No
		JP 6-56572	03/01/1994			No
V		JP 5-319976	12/03/1993	Rintarou et al.		Abstract
/MS/		JP 7-89789	04/04/1995			No

	NON PATENT LITERATURE DOCUMENTS				
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/MS/		De Kock et al.; "The Effect of Doping on the Formation of Swirl defects in Dislocation-Free Czochralski-Grown Silicon Crystals," <i>Journal of Crystal Growth</i> , Vol. 49, 1980, pp. 718-734.			
*					

Examiner		Date	
Signature	/Matthew Song/	Considered	06/08/2007

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PATENT

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Applicant:	Takashi YOKOYAMA et al.) I hereby certify that this correspondence is) being deposited with the United Postal Service as first class mail in an envelope addressed to:
Serial No.:	10/534,946) Mail Stop AMENDMENT, Commissioner of Patents, P.O. Box 1450, Alexandria, VA,
Filed:	May 11, 2005	22313-1450, on June 20, 2005
For:	SILICON WAFER, ITS MANUFACTURING METHOD, AND ITS MANUFACTURING APPARATUS	Gerald T. Shekleton Reg. No. 27,466

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Respectfully submitted,

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Gerald T. Shekleton

Registration No. 27,466

Dated: June 20, 2005

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